# **Maxim Raginsky**

# **Curriculum Vitæ**

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U.S. Citizen

# **Research Interests**

Deterministic and stochastic dynamical systems in machine learning, optimization, and control  $\diamond$  probability and stochastic processes  $\diamond$  statistical learning  $\diamond$  information theory.

### **Education**

20	000 - 2002	Northwestern University: Ph.D. in Electrical Engineering, June 2002 Dissertation: <i>Dynamical Aspects of Information Storage in Quantum-Mechanical Systems</i> Advisor: Horace Yuen
19	99 - 2000	Northwestern University: M.S. in Electrical Engineering, June 2000 Thesis: <i>Quantum Noise Control in Fiber-Optic Lines</i> Advisor: Prem Kumar
19	96 - 2000	Northwestern University: B.S. magna cum laude in Electrical Engineering, June 2000

# **Academic Employment**

2017 - present	Associate Professor
	Dept. of Electrical and Computer Engineering, University of Illinois, Urbana IL
2012 - 2017	Assistant Professor
2012 2017	Dept. of Electrical and Computer Engineering, University of Illinois, Urbana IL
2010 - 2012	Assistant Descend Duckerson
2010 - 2012	Assistant Research Professor  Port of Electrical and Computer Engineering, Duke University, Durham NC
	Dept. of Electrical and Computer Engineering, Duke University, Durham NC
2007 - 2010	Research Scientist
	Dept. of Electrical and Computer Engineering, Duke University, Durham NC
2004 - 2007	Beckman Foundation Postdoctoral Fellow
	Beckman Institute for Advanced Science and Technology, University of Illinois, Urbana IL
2002 - 2004	Postdo starel Possensker (odvisem Henres Vien)
2002 - 2004	Postdoctoral Researcher (advisor: Horace Yuen) Center for Photonic Communication and Computing, Northwestern University, Evanston IL
	Center for Photonic Communication and Computing, Profitiwestern Offiversity, Evalision IL
2000 - 2002	Graduate Research Assistant
	Dept. of Electrical and Computer Engineering, Northwestern University, Evanston IL

# **Affiliations**

• Illinois Institute for Data Science and Dynamical Systems

- Center for Advanced Electronics through Machine Learning
- Center for Autonomy
- Center for the Science of Information

#### **Awards**

#### Research

2017	William L. Everitt Fellow in Electrical and Computer Engineering, University of Illinois
2013	NSF CAREER Award
2004 - 2007	Beckman Foundation Postdoctoral Fellowship, University of Illinois
1999 - 2000	Walter P. Murphy Graduate Fellowship, Northwestern University

# **Teaching**

Fall 2013, 2014, 2016, 2017, 2020; Spring 2017, 2018, 2019, 2021 UIUC List of Teachers Ranked as Excellent

# **Funding**

#### Current

- M. Raginsky (PI), "Stochastic Analysis and Geometry of Neural Dynamical Systems," National Science Foundation
- M. Raginsky (PI), "Illinois Institute for Data Science and Dynamical Systems (iDS<sup>2</sup>)," HDR TRIPODS institute, National Science Foundation
- M. Raginsky (co-PI), "Adaptive Exploitation of Non-Commutative Multimodal Information Structure," Army Research Office MURI
- M. Raginsky (co-PI), "CoRAL: Combined Representations for Adept Learning," DARPA LwLL (Learning with Less Labels)

### **Past**

- M. Raginsky (PI), "Learning signal representations for multiple inference tasks," National Science Foundation, (2015–2019)
- M. Raginsky (PI), "Towards a science of information processing in belief space," Seed Grant from the Center for the Science of Information, an NSF Science and Technology Center, (2015–2016)
- M. Raginsky (PI), "Nonasymptotic analysis of feature-rich decision problems with applications to computer vision," National Science Foundation (2013–2017)
- M. Raginsky (PI), "CAREER: An information-theoretic approach to communication-constrained statistical learning," National Science Foundation (2013–2019)
- M. Raginsky (PI), "Information structures, signaling, and competitively optimal policies in decentralized online optimization," Office of Naval Research (2012–2018)
- M. Raginsky (co-PI), "Learning codes for joint image reconstruction and classification," DARPA (2012–2014)

• M. Raginsky (PI), "Distributed online decision-making in large-scale networks," National Science Foundation (2010–2014)

• M. Raginsky (co-PI), "Sequential prediction for information fusion and control," Air Force Office of Scientific Research (2010–2013)

### **Teaching Experience**

### At UIUC

- ECE 486: Control Systems
  - Spring 2013, Spring 2014, Fall 2016, Fall 2017, Fall 2018
- ECE 498MR: Introduction to Stochastic Systems
  - Spring 2016, Spring 2017
- ECE 517: Adaptive and Nonlinear Control
  - Fall 2020
- ECE 534: Random Processes
  - Fall 2012, Fall 2015
- ECE 543 (formerly ECE 598MR): Statistical Learning Theory
  - Fall 2013, Fall 2014, Fall 2015, Spring 2018, Spring 2021
- ECE 555: Control of Stochastic Systems
  - Spring 2019
- ECE 563: Information Theory
  - Fall 2017

## At Duke University

- Spring 2011: ECE 299 (Advanced Topics Seminar), Statistical Learning Theory
- Fall 2008: BME 171, Signals and Systems

### At Northwestern University

- Fall 2001: ECE 590, Graduate Seminar (Quantum Detection and Estimation Theory) instructor
- Winter 2001: ECE 407, Quantum Optics teaching associate
- Winter 2000: ECE 302, Probabilistic Systems and Random Signals teaching assistant
- Winter 2000: ECE 225, Fundamentals of Electronics teaching assistant

# **Student and Postdoc Advising**

### Ph.D. Advisees

- Yifeng Chu
- Joshua Hanson
- Belinda Tzen

• Tanya Veeravalli

#### Alumni

• Ehsan Shafieepoorfard (Ph.D., 2019; thesis title "Rationally inattentive decision-making: Bayesian decision-making with information choice")

- Jaeho Lee (Ph.D., 2019; thesis title "Robustness and generalization guarantees for statistical learning of generative models")
- Naci Saldi (postdoc, co-advised with Tamer Başar), now Assistant Professor of Natural and Mathematical Sciences at Özyegin University, Turkey
- Yanina Shkel (postdoc, co-advised with Sergio Verdú), now Scientist at EPFL, Lausanne, Switzerland
- Aolin Xu (Ph.D., 2016; thesis title "Information-theoretic limitations of distributed information processing")
- Daphney-Stavroula Zois (postdoc), now Assistant Professor of Computer Engineering at SUNY Albany
- Peng Guan (Ph.D., 2015, co-advised with Rebecca Willett; thesis title "Topics in online Markov decision processes")
- Soomin Lee (postdoc, co-advised with Angelia Nedić), now at Yahoo!
- Xiaoyu Guang (M.S., 2014; thesis title "A channel emulation viewpoint of coding theorems")
- Aray Kalieva (M.S., 2014; thesis title "Adaptive control of a linear system with quantized state observations")

### Ph.D. Dissertation Committees

• James Davidson (advisor: Seth Hutchinson); Abhishek Gupta (advisors: Tamer Başar and Cedric Langbort); David Jun (advisor: Douglas Jones); Ali Khanafer (advisor: Tamer Başar); Juan Ochoa (advisor: Andreas Cangellaris); Po-Sen Huang (advisor: Mark Hasegawa-Johnson); Andrew Bean (advisor: Andrew Singer); Amin Emad (advisor: Olgica Milenkovic); Naci Saldi (Queen's University, Canada; advisors: Tamás Linder and Serdar Yüksel); Onyeama Osuagwu (advisor: Stephen Levinson); Mehmet Donmez (advisor: Andrew C. Singer); Rajai Nasser (EPFL, Switzerland; advisor: Emre Telatar); César Uribe (advisors: Angelia Nedić and Alex Olshevsky); Pengkun Yang (advisor: Yihong Wu); Elad Yarkony (advisor: Yuliy Baryshnikov); Zaichen Chen (advisor: Elyse Rosenbaum); Amirhossein Taghvaei (advisor: Prashant Mehta); Yuheng Bu (advisor: Venu Veeravalli); Weihao Gao (advisor: Pramod Viswanath); Xiao Ma (advisor: Andreas Cangellaris); Noyan Cem Sevuktekin (advisor: Andrew Singer); Amiremad Ghassami (advisor: Negar Kiyavash); Yingxiang Yang (advisors: Negar Kiyavash and Niao He); Cong Xie (advisors: Indranil Gupta and Oluwasanmi Koyejo); Kaiqing Zhang (advisor: Tamer Başar)

#### **Publications**

## **Preprints**

- T. Coleman and M. Raginsky, "Variational Bayesian inference and conditioned stochastic differential equations," 2021
- A. Xu and M. Raginsky, "Minimum excess risk in Bayesian learning," 2020
- B. Tzen and M. Raginsky, "A mean-field theory of lazy training in two-layer neural nets: entropic regularization and controlled McKean–Vlasov dynamics," 2020
- B. Tzen and M. Raginsky, "Neural stochastic differential equations: deep latent Gaussian models in the diffusion limit." 2019
- N. Saldi, T. Başar, and M. Raginsky, "Approximate Markov-Nash equilibria for discrete-time risk-sensitive mean-field games," 2019

#### **Monographs**

 M. Raginsky and I. Sason, "Concentration of measure inequalities in information theory, communications and coding," *Foundations and Trends in Communications and Information Theory*, vol. 10, nos. 1 and 2, 2013; 2nd edition, 2014

### Journal papers

- A.D. Kara, M. Raginsky, and S. Yüksel, "Robustness to incorrect models and adaptive learning in average-cost optimal stochastic control," accepted to *Automatica*, 2021
- J. Xiong, Z. Chen, M. Raginsky, and E. Rosenbaum, "Statistical learning of IC models for system-level ESD simulation," accepted to *IEEE Transactions on Electromagnetic Compatibility*, 2021
- N. Goela and M. Raginsky, "Channel polarization through the lens of Blackwell measures," *IEEE Transactions on Information Theory*, vol. 66, no. 10, pp. 62220–6241, 2020
- M.A. Donmez, M. Raginsky, A. Singer, and L. Varshney, "Cost-reliability tradeoffs in fusing unreliable computational units," *IEEE Open Journal on Signal Processing*, vol. 1, pp. 77-89, 2020
- N. Saldi, T. Başar, and M. Raginsky "Approximate Markov–Nash equilibria for discrete-time risk-sensitive mean-field games," *Mathematics of Operations Research*, vol. 45, no. 4, pp. 1596-1620, 2020
- J. Lee and M. Raginsky, "Learning finite-dimensional coding schemes with nonlinear reconstruction maps," *SIAM Journal on Mathematics of Data Science*, vol. 1, no. 3, pp. 617–642, 2019
- N. Saldi, T. Başar, and M. Raginsky, "Approximate Nash equilibria in partially observed stochastic games with mean-field interactions," *Mathematics of Operations Research*, vol. 44, no. 3, pp. 1006–1033, 2019
- N. Saldi, T. Başar, and M. Raginsky, "Markov–Nash equilibria in discrete-time mean-field games with discounted cost," *SIAM Journal on Control and Optimization*, vol. 56, no. 6, pp. 4256–4287, 2018
- E. Shafieepoorfard and M. Raginsky, "Sequential empirical coordination under an output entropy constraint," *IEEE Transactions on Information Theory*, vol. 64, no. 10, pp. 6830–6841, 2018
- S. Lee, A. Nedić, and M. Raginsky, "Coordinate dual averaging for decentralized online optimization with nonseparable global objectives," *IEEE Transactions on Control of Networked Systems*, vol. 5, no. 1, pp. 34– 44, 2018
- S. Lee, A. Nedić, and M. Raginsky, "Stochastic dual averaging for decentralized online optimization on timevarying communication graphs," *IEEE Transactions on Automatic Control*, vol. 62, no. 12, pp. 6407–6414, 2017
- A. Xu and M. Raginsky, "Information-theoretic lower bounds for distributed function computation," *IEEE Transactions on Information Theory*, vol. 63, no. 4, pp. 2314–2337, 2017
- A. Xu and M. Raginsky, "Information-theoretic lower bounds on Bayes risk in decentralized estimation," *IEEE Transactions on Information Theory*, vol. 63, no. 3, pp. 1580–1600, 2017
- M. Raginsky, "Strong data processing inequalities and Φ-Sobolev inequalities for discrete channels," *IEEE Transactions on Information Theory*, vol. 62, no. 6, pp. 3355–3389, 2016
- E. Shafieepoorfard, M. Raginsky, and S.P. Meyn, "Rationally inattentive control of Markov processes," *SIAM Journal on Control and Optimization*, vol. 54, no. 2, pp. 987–1016, 2016
- M. Raginsky and A. Nedić, "Online discrete optimization in social networks in the presence of Knightian uncertainty," *Operations Research*, vol. 64, no. 3, pp. 662–679, 2016 (special issue on *Information and Decisions in Social and Economic Networks*)
- M.A. Donmez, M. Raginsky, and A.C. Singer, "Online optimization under adversarial perturbations," *IEEE Journal of Selected Topics in Signal Processing*, vol. 10, no. 2, pp. 256–269, 2016

• R.S. Laugesen, P.G. Mehta, S.P. Meyn, and M. Raginsky, "Poisson's equation in nonlinear filtering," *SIAM Journal on Control and Optimization*, vol. 53, no. 1, pp. 501–525, 2015

- P. Guan, M. Raginsky, and R. Willett, "Online Markov decision processes with Kullback–Leibler control cost," *IEEE Transactions on Automatic Control*, vol. 59, no. 6, pp. 1423–1438, 2014
- M. Raginsky, J.G. Silva, S. Lazebnik, and R. Willett, "A recursive procedure for density estimation on the binary hypercube," *Electronic Journal of Statistics*, vol. 7, pp. 820–858, 2013
- M. Raginsky, "Empirical processes, typical sequences and coordinated actions in standard Borel spaces," *IEEE Transactions on Information Theory*, vol. 59, no. 3, pp. 1288–1301, 2013
- K. Krishnamurthy, R. Willett, and M. Raginsky, "Target detection performance bounds in compressive imaging," *EURASIP Journal on Advances in Signal Processing*, art.no. 205, 2012
- M. Raginsky, R. Willett, C. Horn, J. Silva, and R. Marcia, "Sequential anomaly detection in the presence of noise and limited feedback," *IEEE Transactions on Information Theory*, vol. 58, no. 8, pp. 5544–5562, 2012
- M. Raginsky and A. Rakhlin, "Information-based complexity, feedback and dynamics in convex programming," *IEEE Transactions on Information Theory*, vol. 57, no. 10, pp. 7036–7056, 2011
- M. Raginsky, S. Jafarpour, Z. Harmany, R. Marcia, R. Willett, and R. Calderbank, "Performance bounds for expander-based compressed sensing in Poisson noise," *IEEE Transactions on Signal Processing*, vol. 59, no. 9, pp. 4139–4153, 2011
- K. Krishnamurthy, M. Raginsky and R. Willett, "Multiscale photon-limited hyperspectral image reconstruction," *SIAM Journal on Imaging Sciences*, vol. 3, no. 3, pp. 619–645, 2010
- M. Raginsky, Z. Harmany, R. Marcia, and R. Willett, "Compressed sensing performance bounds under Poisson noise," *IEEE Transactions on Signal Processing*, vol. 58, no. 8, pp. 3990–4002, 2010
- S. Lazebnik and M. Raginsky, "Supervised learning of quantizer codebooks by information loss minimization," *IEEE Transactions on Pattern Analysis and Machine Intelligence*, vol. 31, no. 7, pp. 1294–1309, 2009
- M. Raginsky, "Joint universal lossy coding and identification of stationary mixing sources with general alphabets," *IEEE Transactions on Information Theory*, vol. 55, no. 5, pp. 1945–1960, 2009
- A.L. Fernandes, M. Raginsky and T.P. Coleman, "A low-complexity universal scheme for rate-constrained distributed regression using a wireless sensor network," *IEEE Transactions on Signal Processing*, vol. 57, no. 5, pp. 1731–1744, 2009
- M. Raginsky, "Joint fixed-rate universal lossy coding and identification of continuous-alphabet memoryless sources," *IEEE Transactions on Information Theory*, vol. 54, no. 7, pp. 3059–3077, 2008
- M. Raginsky and T.J. Anastasio, "Cooperation in self-organizing map networks enhances information transmission from input to output in the presence of input background activity," *Biological Cybernetics*, vol. 98, pp. 195–211, 2008
- V.P. Belavkin, G.M. D'Ariano and M. Raginsky, "Operational distance and fidelity for quantum channels," *Journal of Mathematical Physics*, vol. 46, art.no. 062106, 2005
- M. Raginsky, "Scaling and renormalization in fault-tolerant quantum computers," Quantum Information Processing, vol. 2, pp. 249–258, 2003
- M. Raginsky, "Radon-Nikodym derivatives of quantum operations," *Journal of Mathematical Physics*, vol. 44, pp. 5003–5020, 2003
- M. Raginsky, "Entropy production rates of bistochastic strictly contractive quantum channels on a matrix algebra," *Journal of Physics A: Mathematical and General* vol. 35, pp. L585–L590, 2002

• M. Raginsky, "Almost any quantum spin system with short-range interactions can support toric codes," *Physics Letters A*, vol. 294, pp. 153–157, 2002

- M. Raginsky, "Strictly contractive quantum channels and physically realizable quantum computers," *Physical Review A*, vol. 65, art.no. 032306, 2002
- M. Raginsky, "A fidelity measure for quantum channels," *Physics Letters A*, vol. 290, pp. 11–18, 2001
- M. Raginsky and P. Kumar, "Generation and manipulation of squeezed states of light in optical networks for quantum communication and computation," *Journal of Optics B: Quantum and Semiclassical Optics*, vol. 3, pp. L1–L4, 2001

### Chapters in refereed volumes

- M. Raginsky, A. Rakhlin, and A. Xu, "Information-theoretic stability and generalization," in *Information-Theoretic Methods in Data Science*, Cambridge University Press, 2021
- A. Kontorovich and M. Raginsky, "Concentration of measure without independence: a unified approach via the martingale method," in *IMA Volume on Convexity, Concentration, and Discrete Structures*, Springer, 2017

### **Conference papers**

- J. Hanson, M. Raginsky, and E. Sontag, "Learning recurrent neural net models of nonlinear systems," *3rd Conference on Learning for Dynamics and Control*, 2021
- J. Hanson and M. Raginsky, "Universal simulation of stable dynamical systems by recurrent neural nets," 2nd Conference on Learning for Dynamics and Control, 2020
- A. Yang, A. Ghassami, M. Raginsky, N. Kiyavash, and E. Rosenbaum, "Model-augmented conditional mutual information estimation for feature selection," *Conference on Uncertainty in Artificial Intelligence*, 2020
- J. Hanson and M. Raginsky, "Universal approximation of input-output maps by temporal convolutional nets," *Advances in Neural Information Processing Systems*, 2019
- N. Saldi, T. Başar, and M. Raginsky, "Partially-Observed Discrete-Time Risk-Sensitive Mean-Field Games," *IEEE Conference on Decision and Control*, 2019
- N. Sevüktekin, M. Raginsky, and A.C. Singer, "Linear noisy networks with stochastic components," *IEEE Conference on Decision and Control*, 2019
- A.D. Kara, M. Raginsky, and S. Yüksel, "Robustness to Incorrect Models in Average-Cost Optimal Stochastic Control," *IEEE Conference on Decision and Control*, 2019
- B. Tzen and M. Raginsky, "Theoretical guarantees for sampling and inference in generative models with latent diffusions," *Conference on Learning Theory*, 2019
- J. Xiong, Z. Chen, Y. Xiu, Z. Mu, M. Raginsky, and E. Rosenbaum, "Enhanced IC modeling methodology for system-level ESD simulation," in *Proceedings of the 2018 Electrical Overstress/Electrostatic Discharge Symposium (EOS/ESD)*, 2018
- J. Lee and M. Raginsky, "Minimax statistical learning with Wasserstein distances," *Advances in Neural Information Processing Systems*, 2018
- B. Tzen, T. Liang, and M. Raginsky, "Local optimality and generalization guarantees for the Langevin algorithm via empirical metastability," in *Proceedings of the 2018 Conference on Learning Theory*, 2018
- Y. Shkel, M. Raginsky, and S. Verdú, "Universal compression, list decoding, and logarithmic loss," in *Proceedings of the IEEE International Symposium on Information Theory*, 2018
- Y. Shkel, M. Raginsky, and S. Verdú, "Sequential prediction with coded side information under logarithmic loss," in *Proceedings of the Conference on Algorithmic Learning Theory*, 2018

• Y. Xiu, S. Sagan, A. Battini, X. Ma, M. Raginsky, and E. Rosenbaum, "Stochastic modeling of air electrostatic discharge parameters," in *Proceedings of International Reliability Physics Symposium*, 2018

- X. Ma, M. Raginsky, and A. Cangellaris, "Machine learning methodology for inferring network S-parameters in the presence of variability," in *Proceedings of IEEE Workshop on Signal and Power Integrity*, 2018
- A. Xu and M. Raginsky, "Information-theoretic analysis of generalization capability of learning algorithms," in Advances in Neural Information Processing Systems, 2017
- E. Shafieepoorfard and M. Raginsky, "Rationally inattentive Markov decision processes over a finite horizon," in *Proceedings of the Asilomar Conference on Signals, Systems, and Computers*, 2017
- Z. Chen, M. Raginsky, and E. Rosenbaum, "Verilog-A compatible recurrent neural network model for transient circuit simulation," in *Proceedings of the Conference on Electrical Performance of Electronic Packaging and Systems*, 2017
- M. Raginsky, A. Rakhlin, and M. Telgarsky, "Non-convex learning via Stochastic Gradient Langevin Dynamics: a nonasymptotic approach," in *Proceedings of the Conference on Learning Theory*, 2017
- Y. Shkel, M. Raginsky, and S. Verdú, "Universal lossy coding with logarithmic loss," in *Proceedings of the IEEE International Symposium on Information Theory*, 2017
- N. Saldi, T. Başar, and M. Raginsky, "Markov-Nash equilibria in mean-field games with discounted cost," in *Proceedings of the American Control Conference*, 2017
- E. Shafieepoorfard and M. Raginsky, "Sequential empirical coordination under an output entropy constraint," in *Proceedings of the IEEE Conference on Decision and Control*, 2016
- P. Guan, M. Raginsky, R. Willett, and D.-S. Zois, "Regret minimization algorithms for single-controller zero-sum stochastic games," in *Proceedings of the IEEE Conference on Decision and Control*, 2016
- M. Donmez, M. Raginsky, A.C. Singer, and L.R. Varshney, "Cost-performance tradeoffs in unreliable computation architectures," in *Proceedings of the Asilomar Conference on Signals, Systems, and Computers*, November 2016
- D.-S. Zois and M. Raginsky, "Active object detection on graphs via locally informative trees," in *Proceedings of the IEEE Workshop on Machine Learning for Signal Processing*, 2016
- M. Raginsky, A. Rakhlin, M. Tsao, Y. Wu, and A. Xu, "Information-theoretic analysis of stability and bias of learning algorithms," in *Proceedings of IEEE Information Theory Workshop*, pp. 26–30, 2016 (invited paper)
- M. Raginsky, "Channel polarization and Blackwell measures," in *Proceedings of the IEEE International Symposium on Information Theory*, pp. 56–60, 2016
- A. Xu and M. Raginsky, "Converses for distributed estimation via strong data processing inequalities," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 2376–2380, 2015
- J. Lee, M. Raginsky, and P. Moulin, "On MMSE estimation from quantized observations in the nonasymptotic regime," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 2924–2928, 2015
- A. Nedić, S. Lee, and M. Raginsky, "Decentralized online optimization with global objectives and local communication," *Proceedings of the American Control Conference*, pp. 4497–4503, 2015
- R.S. Laugesen, P.G. Mehta, S.P. Meyn, and M. Raginsky, "Poisson's equation in nonlinear filtering," *Proceedings of the IEEE Conference on Decision and Control*, pp. 4185–4190, 2014 (invited paper)
- A. Xu and M. Raginsky, "A new information-theoretic lower bound for distributed function computation," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 2227–2231, 2014
- P. Guan, M. Raginsky, and R. Willett, "From minimax value to low-regret algorithms for online Markov decision processes," *Proceedings of the American Control Conference*, pp. 471–476, 2014

M. Raginsky and A. Nedić, "Online discrete optimization in social networks," *Proceedings of the American Control Conference*, pp. 3796–3801, 2014

- E. Shafieepoorfard and M. Raginsky, "Rational inattention in scalar LQG control," *IEEE Conference on Decision and Control*, pp. 5733–5739, 2013
- M. Raginsky, "Learning joint quantizers for reconstruction and prediction," Proceedings of the IEEE Information Theory Workshop, 2013 (invited paper)
- M. Raginsky, "Logarithmic Sobolev inequalities and strong data processing theorems for discrete channels," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 419–423, 2013
- M. Raginsky and I. Sason, "Refined bounds on the empirical distribution of good channel codes via concentration inequalities," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 221–225, 2013
- E. Shafieepoorfard, M. Raginsky, and S.P. Meyn, "Rational inattention in controlled Markov processes," *Proceedings of the American Control Conference*, pp. 6790–6797, 2013
- M. Raginsky and J. Bouvrie, "Continuous-time stochastic mirror descent on a network: variance reduction, consensus, convergence," *Proceedings of the IEEE Conference on Decision and Control*, pp. 6793–6800, 2012
- P. Guan, M. Raginsky, and R. Willett, "Online Markov decision processes with Kullback-Leibler control cost," *Proceedings of the American Control Conference*, pp. 1388–1393, 2012
- M. Raginsky and A. Rakhlin, "Lower bounds for passive and active learning," in *Advances in Neural Information Processing Systems*, pp. 1026–1034, 2011
- M. Raginsky, "Directed information and Pearl's causal calculus," *Proceedings of the Annual Allerton Conference on Communication, Control, and Computing*, pp. 958–965, 2011 (invited paper)
- M. Raginsky, "Shannon meets Blackwell and Le Cam: codes, channels, and statistical experiments," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 1220–1224, 2011
- N. Kiarashi, M. Raginsky, and R. Willett, "Decentralized online convex programming with local information," *Proceedings of the American Control Conference*, pp. 5363–5369, 2011
- M. Raginsky, A. Rakhlin, and S. Yüksel, "Online convex programming and regularization in adaptive control," *IEEE Conference on Decision and Control*, pp. 1957–1962, 2010 (invited paper)
- M. Raginsky, "Divergence-based characterization of fundamental limitations of adaptive dynamical systems,"
   *Proceedings of the Annual Allerton Conference on Communication, Control and Computing*, pp. 107–114,
   2010 (invited paper)
- K. Krishnamurthy, M. Raginsky, and R. Willett, "Hyperspectral target detection from incoherent projections: nonequiprobable targets and inhomogeneous SNR," *Proceedings of the IEEE International Conference on Image Processing*, pp. 1357–1360, 2010
- T.P. Coleman and M. Raginsky, "Mutual information saddle points in channels of exponential family type," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 1355–1359, 2010
- M. Raginsky, "Empirical processes and typical sequences," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 1458–1462, 2010
- M. Raginsky, S. Jafarpour, R. Willett, and R. Calderbank, "Fishing in Poisson streams: focusing on the whales, ignoring the minnows," *IEEE Conference on Information Systems and Sciences*, 2010 (invited paper)
- K. Krishnamurthy, M. Raginsky, and R. Willett, "Hyperspectral target detection from incoherent projections," Proceedings of the IEEE International Conference on Acoustics, Speech, and Signal Processing, pp. 3550–3553, 2010

 M. Raginsky and S. Lazebnik, "Locality-sensitive binary codes from shift-invariant kernels," Advances in Neural Information Processing Systems, pp. 1509–1517, 2009

- S. Jafarpour, R. Willett, M. Raginsky and R. Calderbank, "Performance bounds for expander-based compressed sensing with Poisson noise," *Proceedings of the Asilomar Conference on Signals, Systems, and Computers*, pp. 513–517, 2009
- M. Raginsky and A. Rakhlin, "Information complexity of black-box convex optimization: a new look via feedback information theory," *Forty-Seventh Annual Allerton Conference on Communication, Control, and Computing*, pp. 803–510, 2009 (invited paper)
- M. Raginsky and T.P. Coleman, "Mutual information and posterior estimates in channels of exponential family type," *Proceedings of the IEEE Workshop on Information Theory*, 2009
- M. Raginsky, "Achievability results for statistical learning under communication constraints," Proceedings
  of the IEEE International Symposium on Information Theory, 2009
- R. Willett and M. Raginsky, "Performance bounds on compressed sensing with Poisson noise," *Proceedings of the IEEE International Symposium on Information Theory*, 2009
- M. Raginsky, R. Marcia, J. Silva and R. Willett, "Sequential probability assignment via online convex programming using exponential families," *Proceedings of the IEEE International Symposium on Information Theory*, 2009
- S. Lazebnik and M. Raginsky, "An empirical Bayes approach to contextual region classification," *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, 2009
- M. Raginsky, S. Lazebnik, R. Willett and J. Silva, "Near-minimax recursive density estimation on the binary hypercube," Advances Neural Information Processing Systems, 2008
- M. Raginsky, "On the information capacity of Gaussian channels under small peak power constraints," Proceedings of the Annual Allerton Conference on Communication, Control, and Computing, pp. 286–293, 2008
- M. Raginsky, "Universal Wyner–Ziv coding of discrete memoryless sources with known side information statistics," *IEEE Proceedings of the International Symposium on Information Theory*, pp. 2167–2171, 2008,
- A.L. Fernandes, M. Raginsky and T.P. Coleman, "A low-complexity universal scheme for rate-constrained distributed regression using a wireless sensor network," *Proceedings of the IEEE International Conference* on Acoustics, Speech, and Signal Processing, pp. 2269–2272, 2008
- M. Raginsky, "Learning from compressed observations," *Proceedings of the IEEE Workshop on Information Theory*, pp. 420-425, 2007
- M. Raginsky, "Joint universal lossy coding and identification of stationary mixing sources," Proceedings of the IEEE International Symposium on Information Theory, pp. 1961–1965, 2007
- S. Lazebnik and M. Raginsky, "Learning nearest-neighbor quantizers from labeled data by information loss minimization," *Proceedings of the International Conference on Artificial Intelligence and Statistics*, 2007
- M. Raginsky, "Joint universal lossy coding and identification of i.i.d. vector sources," *Proceedings of the IEEE International Symposium on Information Theory*, pp. 577–581, 2006
- M. Raginsky and S. Lazebnik, "Estimation of intrinsic dimensionality using high-rate vector quantization," Advances in Neural Information Processing Systems, pp. 1105-1112, 2005
- M. Raginsky, "A complexity-regularized quantization approach to nonlinear dimensionality reduction," *IEEE International Symposium on Information Theory*, pp. 352–356, 2005
- M. Raginsky, "Quantum system identification," *Proceedings of the International Conference on Physics and Control (PhysCon)*, A.L. Fradkov and A.N. Churilov, eds., St. Petersburg, Russia; vol. 3, pp. 792–796, 2003

• M. Raginsky, "Entropy-energy balance in noisy quantum computers," *Proceedings of the Sixth International Conference on Quantum Communication, Measurement, and Computing*, J.H. Shapiro and O. Hirota, eds., pp. 445–448, 2003

### Theses and technical reports

- M. Raginsky and R. Willett, "Sequential anomaly detection in the presence of noise and limited feedback,"
   Duke University Technical Report ECE-2009-01, 2009
- M. Raginsky, "A phase transition and stochastic domination in Pippenger's probabilistic failure model for Boolean networks with unreliable gates," arXiv.org e-print math.PR/0311045, 2003
- Dynamical Aspects of Information Storage in Quantum-Mechanical Systems, Ph.D. dissertation, Northwestern University, 2002
- Quantum Noise Control in Fiber-Optic Lines, M.S. thesis, Northwestern University, 2000

### **Presentations**

#### Invited courses and tutorials

- Stochastic Calculus in Machine Learning: Optimization, Sampling, Simulation
  - invited tutorial at the Conference on Algorithmic Learning Theory, San Diego, February 2020
  - invited tutorial, Columbia University Year on Statistical Machine Learning, New York, December 2019
- Concentration of Measure with Applications in Information Theory, Communications, and Coding (jointly with Igal Sason, Technion): tutorial at the IEEE International Symposium on Information Theory, Hong Kong, July 2015

### **Research seminars**

- A mean-field theory of lazy training in two-layer neural nets
  - Workshop on High Dimensional Hamilton-Jacobi PDEs, Institute for Pure and Applied Mathematics, April 2020 (virtual talk)
  - Communications and Signal Processing Seminar, University of Michigan, March 2020 (virtual talk)
  - Theory of Deep Learning Conference, Duke University, March 2020 (virtual talk)
- Neural SDEs: Deep generative models in the diffusion limit
  - Seminar on Theoretical Machine Learning, Institute for Advanced Study, May 2020 (virtual talk)
  - Yale, Department of Statistics and Data Science, September 2019
  - Georgia Tech, Department of Mathematics, Stochastics Seminar, September 2019
  - Georgia Tech, Statistics Seminar, September 2019
  - MIT, Machine Learning Seminar, May 2019
- Metastability in non-convex empirical risk minimization via the Langevin algorithm
  - Harvard University, Electrical Engineering Seminar Series, October 2018
- Decentralized online optimization with global objectives and local communication
  - University of Southern California, Center for Systems and Control Seminar, November 2017
- Non-convex learning via stochastic gradient Langevin dynamics
  - Yale, Department of Statistics and Data Science, April 2018

- MIT, LIDS Seminar, April 2017
- Georgia Tech, Statistics Seminar, April 2017
- Information-theoretic lower bounds for distributed function computation
  - The Shannon Channel, virtual talk via Zoom, February 2020
  - Computing and Mathematical Sciences Colloquium, Caltech, April 2016
- Logarithmic Sobolev inequalities and strong data processing theorems for discrete channels
  - Georgia Tech, Algorithms and Randomness Center Colloquium, April 2013
- Rational inattention, stochastic control, and rate-distortion theory
  - UPenn, Electrical and Systems Engineering Colloquium, November 2013
  - MIT, LIDS Seminar, April 2013
- Fundamental limits of passive and active learning: a new look via feedback information theory
  - Boston University, ECE Seminar, April 2013
  - ENSAE: Paris Graduate School of Economics, Statistics and Finance, France, March 2013
  - University of Southern California, Los Angeles, February 2012
  - University of California, Los Angeles, February 2012
- Active sequential decision-making in an uncertain world: fundamental limits and optimal strategies
  - UNC Chapel Hill, September 2011
  - University of Illinois at Urbana-Champaign, April 2011
  - University of Minnesota, February 2011
- Fundamental limitations of adaptive dynamical systems: an information-theoretic meta-framework
  - McGill University, Center For Intelligent Machines Informal Systems Seminar, October 2010
  - Queen's University, Communications and Control Group Seminar, October 2010
- Empirical processes, typical sequences and coordinated actions in standard Borel spaces
  - University of California San Diego, ECE and ITA Colloquium, November 2012
  - University of Maryland, Information and Coding Theory Seminar, September 2010
- Information complexity of black-box convex optimization: a new look via feedback information theory
  - École Normale Supérieure, Statistical Machine Learning Seminar, May 2010
  - University of Pennsylvania, Machine Learning Lunch, May 2010
  - UNC Chapel Hill, Statistics and Operations Research Colloquium, April 2010
  - Duke University, Algorithms Seminar, November 2009
  - Queen's University, Mathematics Colloquium, October 2009
- Information theory meets statistical learning: how to extract patterns from data using just a few bits
  - Queen's University, October 2009
  - University of Pennsylvania, Department of Statistics, August 2009
  - University of Illinois at Urbana-Champaign, CSL Seminar, May 2009
  - Northwestern University, Communications Group Seminar, May 2009
- Shannon meets Vapnik—Chervonenkis: some interactions between information theory and statistical learning

- Princeton University, ISS Seminar, March 2008
- Statistical learning under communication constraints
  - UNC Chapel Hill, Statistics Colloquium, March 2008
  - University of Michigan Ann Arbor, CSPL Seminar, November 2007
- A low-complexity universal scheme for rate-constrained distributed regression using a wireless sensor network
  - Duke University, ECE Colloquium, October 2007
  - University of Illinois at Chicago, ECE Seminar, September 2007
  - North Carolina State University, Distinguished Seminar Series, August 2007
- Joint universal lossy coding and identification of stationary mixing sources
  - University of Illinois at Urbana-Champaign, CSL Seminar, January 2007
  - Yale University, Statistics Seminar, November 2006
- From quantum channels to neural networks: information processing in stochastic multicomponent systems
  - University of Wisconsin-Madison, March 2006
- Dimensionality estimation and reduction: a unified approach using vector quantization
  - University of Chicago, Toyota Technological Institute, February 2006
- Estimation of intrinsic dimensionality using high-rate vector quantization
  - Microsoft Research, December 2005
- Minimax fidelity for quantum channels: theory and some applications
  - University of California at Berkeley, Berkeley Quantum Seminar, May 2005

### Invited conference and workshop talks

- Theoretical guarantees for sampling and inference in generative models with latent diffusions
  - Workshop on Optimization and Statistical Learning, Les Houches School of Physics, France, March 2019
- Langevin diffusions in non-convex risk minimization
  - Workshop and Bootcamp on Non-Convex Optimization and Deep Learning, MIT Institute for Foundations of Data Science, January 2019
- Revisiting diffusions for global optimization: Lyapunov stability and metastability
  - Stochastic Control and Its Application: A Workshop Dedicated to Sean Meyn's 60th Birthday, IEEE Conference on Decision and Control, Miami Beach, FL, December 2018
- An information-theoretic view of algorithmic stability and generalization
  - Workshop on Adaptive Data Analysis, Simons Institute for the Theory of Computing, Berkeley, CA, July 2018
  - CMSA Workshop on Coding and Information Theory, Harvard University, April 2018
- Concentration of measure without independence: a unified approach via the martingale method
  - Workshop on Concentration of Measure and Its Applications, Institute d'Études Scientifiques de Cargèse (IESC), Corsica, May 2018

- Compositional properties of statistical decision procedures: an information-theoretic view
  - Workshop on Optimization, Statistics, and Uncertainty, Simons Institute for the Theory of Computing, Berkeley, CA, December 2017
- Non-convex learning via stochastic gradient Langevin dynamics
  - Midwest Machine Learning Symposium, University of Chicago, June 2017
- Information and transportation stability of learning algorithms
  - Meeting on Mathematical Statistics: Advances in nonparametric and high-dimensional statistics, CNRS, Fréjus, France, December 2016
- Information-theoretic lower bounds for distributed function computation
  - Workshop on the Nexus of Information and Computation Theories, Institut Henri Poincaré, Paris, France, February 2016
  - 4th Workshop on Cognition and Control, University of Florida, Gainesville, January 2016
- Contraction estimates for Markov kernels via information-transportation inequalities
  - Workshop on Information Theory and Concentration Phenomena, Institute for Mathematics and Applications, University of Minnesota, April 2015
- Strong data processing inequalities: applications to MCMC and graphical models
  - Workshop on Information Theory, Learning, and Big Data, Simons Institute for the Theory of Computing, Berkeley, CA, March 2015
- $\varphi$ -Sobolev inequalities and strong data processing theorems
  - Information Theory and Applications Workshop, UCSD, February 2014
- Online discrete optimization in social networks with inertia
  - 2nd Workshop on Cognition and Control, University of Florida, Gainesville, January 2014
- Empirical processes and information theory
  - Meeting on Mathematical Statistics with Applications in Mind, Centre International de Rencontres Mathématiques, Marseille, France, December 2013
- Rational inattention, stochastic control, and rate-distortion theory
  - Workshop on Sequential and Adaptive Information Theory, McGill University, Montreal, Canada, November 2013
- Learning joint quantizers for reconstruction and prediction
  - IEEE Information Theory Workshop, Seville, Spain, September 2013
- The limits of control: an information-theoretic viewpoint
  - 1st Workshop on Cognition and Control, University of Florida, Gainesville, February 2013
- Logarithmic Sobolev inequalities and strong data processing theorems for discrete channels
  - Information Theory and Applications Workshop, UCSD, February 2013
- Directed information, probabilistic graphical models, and Pearl's causal calculus
  - 1st Munich Workshop on Bidirectional Communication and Directed Information, Technical University of Munich, May 2012

- Concentration of measure and erasure divergence
  - Information Theory and Applications Workshop, UCSD, February 2012
- Shannon meets Blackwell (and Le Cam): coding theorems of information theory and comparison of statistical experiments
  - Information Theory and Applications Workshop, UCSD, February 2011
- Fishing in Poisson streams: focusing on the whales, ignoring the minnows
  - Conference on Information Systems and Sciences, Princeton, March 2010
- Information-based complexity in optimization and control: a new look via feedback information theory
  - Information Theory and Applications Workshop, UCSD, February 2010
- Achievability results for learning under communication constraints
  - Information Theory and Applications Workshop, UCSD, February 2009
- Operational distances between quantum channels, with applications to quantum information theory and cryptography
  - Mini-Symposium on Quantum Communication, Computation and Information Theory, ETH, Zürich, September 2006
- Quantum operations, Radon-Nikodym and all that
  - A Meeting on C\*-Algebras and Quantum Information Theory, Los Angeles, CA, June 2004
- Comparison Theorems for Quantum Operations
  - Workshop on Quantum Information Processing and Quantum Communications, Università di Pavia, Italy, May 2004
- Scaling and renormalization in fault-tolerant quantum computers
  - Simons Conference on Quantum and Reversible Computation, SUNY Stony Brook, May 2003

### **Professional Service and Memberships**

- Technical program committees:
  - Conference on Learning Theory, 2018–2021
  - Conference on Algorithmic Learning Theory, 2021
  - International Conference on Machine Learning, 2018–2020
  - Conference on Artificial Intelligence and Statistics, 2017, 2019, 2020
  - Conference on Neural Information Processing Systems, 2013, 2017–2019
  - IEEE International Symposium on Information Theory, 2011, 2014–2019, 2021
  - IEEE Information Theory Workshop, 2013, 2015, 2020
  - IFAC Workshop on Estimation and Control of Networked Systems (NecSys), 2015
  - IEEE International Conference on Distributed Computing in Sensor Systems (Signal Processing and Information Theory Track), 2014, 2015
  - IEEE GlobalSIP Symposium on Controlled Sensing for Inference, 2013
  - IEEE GlobalSIP Symposium on Emerging Challenges in Network Sensing, Inference, and Communication, 2013

- NIPS Workshop on Computational Trade-offs in Statistical Learning, 2011

- Advisory board member:
  - Midwest Machine Learning Symposium
  - Association for Computational Learning
- Editorial board member:
  - SIAM Journal on Mathematics of Data Science
  - IEEE Transactions on Information Theory (past)
  - IEEE Transactions on Network Science and Engineering (past)
  - Foundations and Trends in Communications and Information Theory (past)
- Conference session organizer:
  - "Active learning, search, and visual recognition," invited session at the 2013 Allerton Conference on Communications, Control and Computing – co-organized with S. Lazebnik (UIUC) and T. Javidi (UCSD)
  - "Distributed optimization," invited session at the 2012 IEEE Conference on Decision and Control co-organized with A. Nedich (UIUC)
  - "Information, learning and adaptation in stochastic dynamical systems," invited session at the 2012 Allerton Conference on Communication, Control and Computing — co-organized with A. Nedich (UIUC)
  - "Information theory in learning and control," invited session at the 2012 Conference on Information Systems and Sciences
  - "David Blackwell's legacy: new frontiers," two invited sessions at the 2011 Information Theory and Applications Workshop, UCSD
  - "Information divergence and stochastic dynamical systems," invited session at the 2010 Allerton Conference on Communications, Control and Computing co-organized with T.P. Coleman (UIUC) and G. Como (MIT)
  - "New developments in the use of feedback in communications and decision-making environments," invited session at the 2009 Allerton Conference on Communication, Control and Computing coorganized with T.P. Coleman (UIUC) and O. Shayevitz (UCSD)

### • Workshop organizer:

 "Optimal cooperation, communication, and learning in decentralized systems," a five-day workshop at Banff International Research Station, Canada, October 2014 – co-organized with A. Mahajan (McGill), D. Teneketzis (University of Michigan), and S. Yüksel (Queen's University)

#### • Journal refereeing:

IEEE Transactions on Information Theory, IEEE Transactions on Automatic Control, IEEE Transactions on Control of Networked Systems, IEEE Transactions on Network Science and Engineering, IEEE Journal on Selected Areas in Communication, IEEE Transactions on Signal Processing, IEEE Journal on Selected Topics in Signal Processing, IEEE Signal Processing Letters, IEEE Transactions on Information Forensics and Security, IEEE Transactions on Aerospace and Electronic Systems, IMA Journal of Mathematical Control and Information, SIAM Journal on Control and Optimization, Systems and Control Letters, Operations Research, Annals of Statistics, Journal of Machine Learning Research, Journal of Computer and System Sciences, Communications on Mathematical Physics, Linear Algebra and Its Applications, Journal of Geometric Mechanics, Physical Review Letters, Physical Review A, Physics Letters, Entropy, Kybernetika

#### • Conference refereeing:

ACM Symposium on Theory of Computing (STOC): 2018; IEEE Symposium on Foundations of Computer Science (FOCS): 2016; IEEE International Symposium on Information Theory (ISIT): 2007, 2009, 2010–2016; IEEE Information Theory Workshop (ITW): 2008, 2010, 2011, 2013, 2015; International Symposium on Mathematical Theory of Networks and Systems (MTNS): 2010; IFAC Workshop on Estimation and Control of Networked Systems (NecSys): 2012; Conference on Learning Theory (COLT): 2009, 2011; Conference on Neural Information Processing Systems (NIPS): 2009, 2010, 2011, 2012; Conference on Artificial Intelligence and Statistics (AISTATS): 2007, 2013; IEEE Conference on Decision and Control: 2013; European Control Conference: 2013, 2014, 2015; Conference on Computer Vision and Pattern Recognition (CVPR): 2010; European Conference on Computer Vision (ECCV): 2008; Data Compression Conference (DCC): 2010

- Panels: NSF CISE, 2008; NSF CIF, 2013-2014
- Senior Member of IEEE (Information Theory Society, Control Systems Society)

# **University Service**

• Departmental committees

- Celebration of Excellence Committee: 2014–2016

- Colloquium Committee: 2012-2017

- Communications and Control Area Committee: 2015–2016

Curriculum Committee: 2012–2017
Faculty Search Committee: 2017–2019
Fellowship Committee: 2012–2013

Graduate Committee: 2015–2019, 2020–2021
Graduate Admissions Committee: 2020–2021
Graduate Recruitment Committee: 2012–2015

- Coordinated Science Lab Policy and Planning Committee
  - Decision and Control group representative, two year term 2017–2019